

Questionnaire for Potential Mortgage and Deposit Brokers

League Savings and Mortgage is a credit union owned company providing a full range of mortgage and investment products to Atlantic Canada credit unions and others.

Thank you for your interest in considering LSM as a provider of mortgage and investment products. As part of our due-diligence we require all new brokers to complete the following questionnaire. If you have any questions, please feel free to contact us. Once completed and approved we will send a Brokerage Agreement to be signed by a signing officer of your company.

As required by FINTRAC, we will be forwarding required training on Money Laundering & Terrorist Financing.

Name: _____

Company: _____

Address: _____

Phone # _____

e-mail: _____

Please note that commission will be paid to the company name above and forwarded to the same address.

- How long have you been in the brokerage business? How long has your company been in business?

- Please indicate which platform you use (Filogix Expert or Newton Velocity) and provide your unique user number.

- Please provide us with details of your company's registration with the Registrar of Joint Stock Companies and or Broker License.

- Please provide some references from other Financial Institutions you deal with. Do you have a broker relationship with any Atlantic credit unions?

- Briefly indicate the type of business you will be referring to League Savings and Mortgage.
All mortgage referrals are required to be sent via Filogix.
 - Deposits (GIC)
 - Deposits (RRSP)
 - Deposits (Market-linked GIC)
 - Deposits (RRIF & LIRA)
 - Deposits (Pension Legislation)
 - Mortgages (purchases)
 - Mortgages (construction)
 - Mortgages (refinance)
 - Mortgage (mini-homes)
 - Mortgages (commercial)

- Please provide details of any professional association; i.e. CAAMP (Canadian Association of Accredited Mortgage Professionals), IDA (Investment Dealers Association).

- Please provide a list of current agents and designations and include their phone number, fax number, address and email address. Indicate if they are a submitting broker or co-agent. If co-agent, provide the associated submitting broker. When new agents are to be added, we require notification signed by the primary broker on company letterhead.

- How did you learn about League Savings and Mortgage?

Thank you for considering League Savings and Mortgage